

WHY CHOOSE DHG?

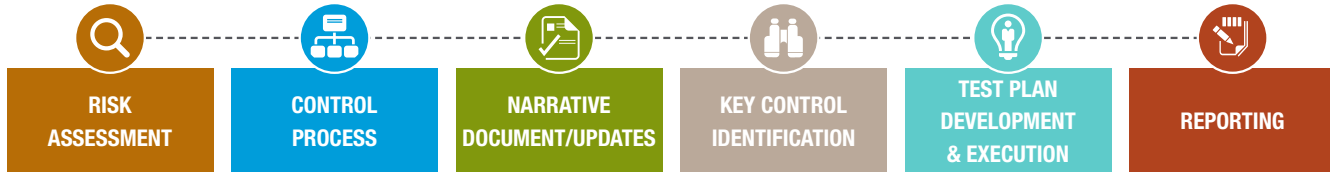
We are uniquely positioned to serve your accounting and advisory needs, whether they are specific to an ICFR project or other risk management and internal audit services.

STAYING AHEAD OF ICFR COMPLIANCE REQUIREMENTS



Recent favorable trends in market capitalization may result in many community banks finding that they will soon be required to comply with the internal control audit requirements of the Sarbanes-Oxley Act of 2002 (SOX). For those institutions not currently subject to those requirements, rebounding loan demand is resulting in asset growth, and stock market gains are increasing market capitalizations, which can likewise result in internal control over financial reporting (ICFR) assurance requirements under the Federal Deposit Insurance Corporation Improvement Act (FDICIA) and SOX.

If your institution is faced with the task of ICFR compliance, either as a result of SOX or FDICIA, our professionals are ready to directly assist you through each of the challenging phases of this significant project.



Whether you are in need of assistance from the inception of your ICFR project or simply in need of a co-sourcing firm to aid in the execution of controls testing, DHG is here to help. We know your industry, the challenges that you face and have the right team to respond quickly.

WHAT WE DO



As a trusted advisor to our clients, we bring an integrated client service approach with dedicated industry experience. Our experienced professionals have a thorough understanding of the complexities involved in developing and implementing an initial ICFR testing program. We are able to draw from our extensive cross-functional experiences to customize our approach to meet your needs. We have successfully delivered ICFR projects with the right balance of advisory insight, resourcefulness and strategies.

OTHER SERVICES TO MEET YOUR NEEDS



Through a proven, risk-based approach, we are committed to providing you with industry and subject matter talent, a wide range of services and accessibility throughout the year.

YOUR CONTACT



Walter McNairy, CPA
Managing Partner
DHG Financial Services
walter.mcnaury@dhg.com

- ICFR (Sarbanes-Oxley): Documentation, Testing, Co-Sourcing Assistance
- Risk Assessment & Audit Plan Design
- Internal Audit
- Cybersecurity Assessment
- Compliance Audits
- Loan Review & Credit Process Consultation
- Fraud, forensic & litigation support
- Quality Assurance Review
- Model Risk Management & Model Validation
- IT Audit
- Credit Loss Estimate Due Diligence
- Tax Compliance & Consulting
- Compliance Training