

WHY CHOOSE DHG?

We have numerous financial services compliance leaders to assist your company with management consulting, regulatory remediation, training and audit services.

managing risk exposure through comprehensive compliance programs

The regulatory compliance environment is changing rapidly with increasing complexity and rigor. As the compliance road map changes, financial services companies are tasked with keeping current with evolving compliance requirements. Along with internal controls and corporate governance, financial services companies are managing business risk through strong compliance programs with in-house talent and outsourced resources. DHG, a top 20 accounting and advisory firm, offers comprehensive compliance, audit and consulting services from dedicated compliance leaders.

WHAT WE DO

DHG's financial services compliance team is comprised of professionals to assist your company with compliance initiatives. Evaluating a financial services company's compliance management system involves a comprehensive review and analysis of the company's compliance processes and controls. DHG provides insight on the overall effectiveness of a company's compliance management system and can recommend tailored strategies in order to identify and manage inherent risk and compliance responsibilities.

We bring an integrated client service approach with dedicated industry experience and tailor our approach to meet your business needs.

SERVICES TO MEET YOUR NEEDS

We provide a wealth of regulatory compliance services for financial services companies. As regulatory reform evolves, our professionals stay current with new and emerging requirements.

A RESOURCEFUL TEAM



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A representative list of our services include:

- Bank Secrecy Act (BSA) Audit
- BSA Model Validations
- Community Reinvestment Act Evaluations & Fair Lending Redlining Analysis
- Compliance Management System Review
- Compliance Training
- Fair Lending Risk Assessments & Comparative File Analysis
- Home Mortgage Disclosure Act (HMDA) & Community Reinvestment Act (CRA) Data Integrity Review
- New Regulation Implementation Services
- Red Flags Audit
- Regulatory Remediation
- Regulatory Response & Remediation
- Secure & Fair Enforcement for Mortgage Licensing Act (SAFE Act) Audit
- Service Members Civil Relief Act (SCRA) & Military Lending Act (MLA) Audit
- Trust & Fiduciary Activities Audit
- Truth in Lending Act (TILA) Real Estate Settlement Procedure Act (RESPA) Integrated Disclosure (TRID)